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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53 (b))

Attorney Docket No.		LEX-0070-USA			
First Inventor or Application Identifier		on	C. Alexander Turner Jr. et al.		
Title	Novel Human Pro Same	oteins and Polynucleotides Encoding the			
Express Mail label No.		EL6727561	58US		

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See M	APPLICATION ELEMENTS IPEP chapter 600 concerning utility patent application contents	ADDRESS TO: ADDRESS TO: Box Patent Application Washington, DC 20231
1	*Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. Microfiche Computer Program (Append
2 X	Specification [Total Pages (preferred arrangement set forth below]	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- Des	scriptive title of the Invention	a. Computer Readable Copy
	ss References to Related Applications	b. X Paper Copy (identical to computer copy)
	ement Regarding Fed sponsored R & D erence to Microfiche Appendix	c. Statement verifying identity of above copies
	kground of the Invention	ACCOMPANYING APPLICATION PARTS
	f Summary of the Invention If Description of the drawings (if filed)	7. Assignment Papers (cover sheet & document(s))
- Det	ailed Description	37 C.F.R.§3.73(b) Statement Power of
- Clai - Abs	m(s) tract of the disclosure	(when there is an assignee) Attorney
		9. English Translation Document (if applicable)
3	Drawing(s)(35 U.S.C.113) [Total]	10. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
4. Oath o	r Declaration [Total 1]	11. Preliminary Amendment
a. >	Newly unexecuted (original or copy)	12. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
b	Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)	13. X *Small Entity Statement filed in prior application, Status still proper and desired
	i. DELETION OF INVENTOR(S) Signed statement attached deleting	(PTO/B/09-12) Certified Copy of Priority Document(s) (if foreign priority is claimed)
	inventor(s) named in the prior application,	Other:
·	see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	15.
SMALLE	OR ITEMS 1 & 13: IN OFIDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A INITIY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. §128).	
16. If a C	ONTINUING APPLICATION, check appropriate box, and sup	ply the requisite information below and in a preliminary amendment:
		CIP)of prior application No:
	plication information: Examiner	Group/Art Unit:
For CONTI	NUATION or DIVISIONAL APPS only: The entire disclosure of the	e prior application, from which an oath or declaration is supplied continuation or divisional application and is hereby incorporated
by reference	е.	
	17. CORRESPO	NDENCE ADDRESS
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Country	le (Print/Type) Lance K. Ishimoto , , , , ,	11) 362-6554
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN

Docket Number (Optional) LEX-0070-USA

		58	
	Applicant, Patentee, or Identifier: <u>C. Alexander Turner Jr. et al.</u> Application or Patent No.:	1776	
	Filed or Issued: October 18, 2000	(D)	
	Title: Novel Human Proteins and Polynucleotides Encoding the Same	7	
	I hereby state that I am		
	the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below:		
NAME OF SMALL BUSINESS CONCERN Lexicon Genetics Incorporated			
	ADDRESS OF SMALL BUSINESS CONCERN 4000 Research Forest Drive, The Woodlands, TX 77381		
	I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third S SW, Washington, DC 20416.	size	
	I hereby state that rights under contract or law have been conveyed to and remain with the small business concern iden above with regard to the invention described in:	ntified	
	 ⊠ the specification filed herewith with title as listed above. □ the application identified above. □ the patent identified above. 		
	If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organiz having rights in the invention must file separate statements as to their status as small entities, and no rights to the inventio held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that permade the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a non organization under 37 CFR 1.9(e).	n are erson	
	Each person concern, or organization having any rights in the invention is listed below: No such persons, concerns, or organizations exist. each such person, concerns, or organization is listed below:		
	Separate statements are required from each named person, concern, or organization having rights to the invention st their status as small entities. (37 CFR 1.27)	tating	
	I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitle to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))		
	NAME OF PERSON SIGNING Lance K. Ishimoto		
	TITLE OF PERSON IF OTHER THAN OWNER Vice President - Intellectual Property		
	ADDRESS OF PERSON SIGNING 4000 Research Forest Drive, The Woodlands, TX 77381		
	SIGNATURE DATE <u>October 18, 2000</u> Reg. No. 41,866		
	116g. No. 41,000		

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